

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

JOHN FINN,

Plaintiff,

v.

SMITH BARNEY, CITIGROUP GLOBAL
MARKETS INC., CITIGROUP INC., BRIAN
WILLIAMS, and DOES 1 THROUGH 20, inclusive,

Defendants.

Case No. 08-cv-2975 (LTS) (KNF)

JUDGE LAURA T. SWAIN

**CORPORATE DISCLOSURE
STATEMENT**

Pursuant to Federal Rule of Civil Procedure 7.1(a), the undersigned counsel of record for defendants Smith Barney, Citigroup Global Markets Inc. (“CGMI”), and Citigroup Inc. states that no parent corporation or publicly held corporation owns 10% or more of any of these defendants’ stock.

Smith Barney is a division of CGMI. Smith Barney has no separate corporate existence, and therefore is not a corporate party to this action within the meaning of Rule 7.1. This statement should not be construed so as to concede that Smith Barney is a judicially recognizable entity.

CGMI is an indirect, wholly-owned subsidiary of Citigroup Global Markets Holdings Inc., which is a wholly-owned subsidiary of Citigroup Inc.

Citigroup Inc. is a publicly-traded corporation that has no parent corporation.

Dated: May 6, 2008
New York, New York

PAUL, WEISS, RIFKIND, WHARTON &
GARRISON LLP

By: _____ /s/ Brad S. Karp

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